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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-------------|----------------------|-----------------------|------------------|
| 10/676,182 | 09/30/2003 | Steven Verhaverbeke | AMAT/8284/CMP/W-C/RKK | 6792 |
| 44257 | 7590 | 07/11/2007 | EXAMINER | |
| PATTERSON & SHERIDAN, LLP 3040 POST OAK BOULEVARD, SUITE 1500 HOUSTON, TX 77056 | | | CHAUDHRY, SAEED T | |
| ART UNIT | | PAPER NUMBER | | |
| | | 1746 | | |
| MAIL DATE | | DELIVERY MODE | | |
| 07/11/2007 | | PAPER | | |

Please find below and/or attached an Office communication concerning this application or proceeding.

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**BEFORE THE BOARD OF PATENT APPEALS
AND INTERFERENCES**

Application Number: 10/676,182

Filing Date: September 30, 2003

Appellant(s): VERHAVERBEKE, STEVEN

MAILED
JUL 11 2007
GROUP 1700

Mr. Keith M. Tackett
For Appellant

EXAMINER'S ANSWER

This is in response to the appeal brief filed March 2, 2007 appealing from the Office action mailed November 14, 2006.

(1) Real Party in Interest

A statement identifying by name the real party in interest is contained in the brief.

(2) Related Appeals and Interferences

The examiner is not aware of any related appeals, interferences, or judicial proceedings which will directly affect or be directly affected by or have a bearing on the Board's decision in the pending appeal.

(3) Status of Claims

The statement of the status of claims contained in the brief is correct.

(4) Status of Amendments After Final

The appellant's statement of the status of amendments after final rejection contained in the brief is correct.

(5) Summary of Claimed Subject Matter

The summary of claimed subject matter contained in the brief is correct.

(6) Claims Appendix

The copy of the appealed claims contained in the Appendix to the brief is correct.

The following is a listing of the evidence (e.g., patents, publications, Official Notice, and admitted prior art) relied upon in the rejection of claims under appeal.

(7) Evidence Relyed Upon

| | | |
|-------------|------------------------|---------|
| WO-02/10480 | Ramachandran et al. | 2-2002 |
| 6,630,074 | Rath et al . | 10-2003 |
| EP-0918081 | Rath et al. | 5-1999 |
| 4,100,014 | Kuhn-Kuhnenfeld et al. | 7-1978 |
| 5,650,041 | Gotoh et al. | 7-1997 |
| 6,273,959 | Oonishi et al. | 8-2001 |

(8) Grounds of Rejection

The following ground(s) of rejection are applicable to the appealed claims:

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 1-2, 5, 9-12, 14, 20, and 24-27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ramachandran et al (WO-02/10480).

Ramachandran et al (WO-10480) disclose a method of removing residue from a substrate. The etchant solution of the instant invention would also be useful for cleaning of many types of residue material. Residue materials include, but are not limited to oxygen, silicon, carbon and elements of an underlying conductive layer (see page 3, lines 11-14).

The present invention provides an etchant composition that is capable of removing via residue and does not adversely effect the aluminum lines or lines made of other conductive materials (See page 4, lines 16-19).

The etchant composition of the present invention is an aqueous solution containing about 0.01 to about 15 percent by weight of sulfuric acid, about 0.01 to about 20 percent by weight of hydrogen peroxide, or about 1 to about 30 ppm of ozone, and about 0.1 to about 100 ppm of hydrofluoric acid (see page 4, lines 23-27).

A preferred composition of the present invention is an aqueous solution of about 8 percent by weight of sulfuric acid, and about 1.5 percent by weight of hydrogen peroxide and the remainder being substantially water, and more preferably contain about 10 ppm of a fluoride containing compound, preferably hydrofluoric acid. This composition is preferably employed at temperatures of about 35 degree C. Another more preferred composition of the present invention is an aqueous solution of about 9 percent by weight sulfuric acid and about 4 percent by weight hydrogen peroxide and the remainder being substantially water, and more preferably contain about 10 ppm of a fluoride containing compound, preferably hydrofluoric acid. This composition is preferably employed at temperatures of about 35 degree C. and is especially preferred for removing thicker and more tenacious sidewall polymer. Yet another more preferred composition of the present invention is an aqueous solution of about 5% by weight of sulfuric acid, about 12% by weight of hydrogen peroxide and about 10 ppm hydrogen fluoride. The water employed is preferably deionized water (see page 5, lines 23 through page 6, line4).

The etchants of the present invention can be used to contact the substrate where the polymer or via residue is to be removed by any known technique, such as dipping in a bath or preferably spraying the composition on the substrate or silicon wafer having the aluminum copper lines thereon.

Typically, the composition is sprayed at a temperature of about 25 to about 95.degree. C. and preferably at a temperature of about 30 to about 50.degree. C. for about 1 to about 8 minutes, typical of which is about 2 minutes. Following this, the wafer can be subjected to a deionized water rinse followed by drying (see page 7, line 18-25). Since the rinsing step is performed after the using an aqueous solution. Therefore, the rinse solution is inherently kept separated from the aqueous solution. Further, claims 1 and 14 include a recitation "about 70% or less by weight" and "about 3° C or less", which reads as 0% percent and 0° C temperature difference. Therefore, it would have been obvious at the time appellant invented the claimed process to use cleaning composition as disclosed by Ramachandran et al for removing residue from a surface of a substrate. Mixing hydrofluoric and sulfuric acid with hydrogen peroxide at different concentration before further diluting with water would have been obvious since it is known in the art to dilute the cleaning composition with water before cleaning a surface. Further the appellant has not shown any difference between composition starting with different concentration, which distinguished the claimed process from the cited art.

Furthermore, it would have been obvious to manipulate the higher concentration such as claimed 70% starting composition or 98% starting composition as disclosed by the cited reference to reach at the composition to be used for cleaning the substrate since it has been held obvious to discover the optimum or workable ranges by routine experimentation (see *In re Aller* 105 USPQ 233, 255 (CCPA 1955)). Also, it would have been obvious at the time appellant invented the claimed process to reach at the claimed cleaning composition from more concentrated composition rather than less concentrated composition by adding less water rather than more water to dilute the concentrated

composition to obtain the most satisfactory composition (see *In re Gibson* 5 USPQ 231, 232 (CCPA 1930).

Claims 1-2, 5, 9-12, 14, 20, and 24-27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Rath et al.

Rath et al (6,630,074) disclose a method for removing residue from a substrate. The etchant solution of the instant invention would also be useful for cleaning of many types of residue material. Residue materials include, but are not limited to oxygen, silicon, carbon and elements of an underlying conductive layer (see col. 2, lines 38-43).

The present invention provides an etchant composition that is capable of removing via residue and does not adversely effect the aluminum lines or lines made of other conductive materials (See col. 3, lines 1-4).

The etchant composition of the present invention is an aqueous solution containing about 0.01 to about 15 percent by weight of sulfuric acid, about 0.01 to about 20 percent by weight of hydrogen peroxide, or about 1 to about 30 ppm of ozone, and about 0.1 to about 100 ppm of hydrofluoric acid (see col. 3, lines 12-18).

A preferred composition of the present invention is an aqueous solution of about 8 percent by weight of sulfuric acid, and about 1.5 percent by weight of hydrogen peroxide and the remainder being substantially water, and more preferably contain about 10 ppm of a fluoride containing compound, preferably hydrofluoric acid. This composition is preferably employed at temperatures of about 35.degree. C. Another more preferred composition of the present invention is an aqueous solution of about 9 percent by weight sulfuric acid and about 4 percent by weight hydrogen peroxide and

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the remainder being substantially water, and more preferably contain about 10 ppm of a fluoride containing compound, preferably hydrofluoric acid. This composition is preferably employed at temperatures of about 35.degree. C. and is especially preferred for removing thicker and more tenacious sidewall polymer. Yet another more preferred composition of the present invention is an aqueous solution of about 5% by weight of sulfuric acid, about 12% by weight of hydrogen peroxide and about 10 ppm hydrogen fluoride. The water employed is preferably deionized water (see col. 3, line 54 through col. 4, line 7).

The etchants of the present invention can be used to contact the substrate where the polymer or via residue is to be removed by any known technique, such as dipping in a bath or preferably spraying the composition on the substrate or silicon wafer having the aluminum copper lines thereon.

Typically, the composition is sprayed at a temperature of about 25 to about 95.degree. C. and preferably at a temperature of about 30 to about 50.degree. C. for about 1 to about 8 minutes, typical of which is about 2 minutes. Following this, the wafer can be subjected to a deionized water rinse followed by drying (see col. 5, lines 9-19). Since the rinsing step is performed after the using an aqueous solution. Therefore, the rinse solution is inherently kept separated from the aqueous solution.

Further, claims 1 and 14 include a recitation "about 70% or less by weight" and "about 3° C or less", which reads as 0% percent and 0° C temperature difference. Therefore, it would have been obvious at the time applicant invented the claimed process to use cleaning composition as disclose by Rath et al for removing residue from a surface of a substrate. Mixing hydrofluoric and sulfuric acid with hydrogen peroxide at different

concentration before further diluting with water would have been obvious since it is known in the art to dilute the cleaning composition with water before cleaning a surface. Further the appellant has not shown any difference between composition starting with different concentration, which distinguished the claimed process from the cited art.

Furthermore, it would have been obvious to manipulate a higher concentration starting composition as disclosed by the cited reference or a lower starting composition as claimed herein to reach at the composition to be used for cleaning the substrate by using less water or more water for dilution, since it has been held obvious to discover the optimum or workable ranges by routine experimentation (see *In re Aller* 105 USPQ 233, 255 (CCPA 1955). Also, it would have been obvious at the time appellant invented the claimed process to reach at the claimed cleaning composition from more concentrated composition rather than less concentrated composition by adding less water rather than more water to dilute the concentrated composition to obtain the most satisfactory composition, since the end result of the claimed composition is same as disclosed by the reference (see *In re Gibson* 5 USPQ 231, 232 (CCPA 1930)).

Claims 1-2, 5, 9-12, 14, 20, 24-27, and 38 are rejected under 35 U.S.C. 103(a) as being unpatentable over Rath et al (EP-0918081).

Rath et al (EP-0918081) disclose a method for removing residue from a substrate. The etchant solution of the instant invention would also be useful for cleaning of many types of residue material. Residue materials include, but are not limited to oxygen, silicon, carbon and elements of an underlying conductive layer (see page 2, lines 48-50).

The present invention provides an etchant composition that is capable of removing via residue and does not adversely effect the aluminum lines or lines made of other conductive materials (See page 3, lines 6-7).

The etchant composition of the present invention is an aqueous solution containing about 0.01 to about 15 percent by weight of sulfuric acid, about 0.01 to about 20 percent by weight of hydrogen peroxide, or about 1 to about 30 ppm of ozone, and about 0.1 to about 100 ppm of hydrofluoric acid (see page 3, lines 11-13).

A preferred composition of the present invention is an aqueous solution of about 8 percent by weight of sulfuric acid, and about 1.5 percent by weight of hydrogen peroxide and the remainder being substantially water, and more preferably contain about 10 ppm of a fluoride containing compound, preferably hydrofluoric acid. This composition is preferably employed at temperatures of about 35.degree. C. Another more preferred composition of the present invention is an aqueous solution of about 9 percent by weight sulfuric acid and about 4 percent by weight hydrogen peroxide and the remainder being substantially water, and more preferably contain about 10 ppm of a fluoride containing compound, preferably hydrofluoric acid. This composition is preferably employed at temperatures of about 35.degree. C. and is especially preferred for removing thicker and more tenacious sidewall polymer. Yet another more preferred composition of the present invention is an aqueous solution of about 5% by weight of sulfuric acid, about 12% by weight of hydrogen peroxide and about 10 ppm hydrogen fluoride. The water employed is preferably deionized water (see page 3, lines 32-42).

The etchants of the present invention can be used to contact the substrate where the polymer or via residue is to be removed by any known technique, such as dipping in a

bath or preferably spraying the composition on the substrate or silicon wafer having the aluminum copper lines thereon. Typically, the composition is sprayed at a temperature of about 25 to about 95.degree. C. and preferably at a temperature of about 30 to about 50.degree. C. for about 1 to about 8 minutes, typical of which is about 2 minutes. Following this, the wafer can be subjected to a deionized water rinse followed by drying (see page 4, lines 20-25). Since the rinsing step is performed after the using an aqueous solution. Therefore, the rinse solution is inherently kept separated from the aqueous solution. Further, the cleaning solution and rinsing water are not recycled. Therefore, the solutions are inherently discarded after use.

Further, claims 1 and 14 include a recitation "about 70% or less by weight" and "about 3° C or less", which reads as 0% percent and 0° C temperature difference. Therefore, it would have been obvious at the time applicant invented the claimed process to use cleaning composition as disclose by Rath et al for removing residue from a surface of a substrate. Mixing hydrofluoric and sulfuric acid with hydrogen peroxide at different concentration before further diluting with water would have been obvious since it is known in the art to dilute the cleaning composition with water before cleaning a surface. Further the appellant has not shown any difference between composition starting with different concentration, which distinguished the claimed process from the cited art.

Furthermore, it would have been obvious to manipulate a higher concentration starting composition as disclosed by the cited reference or a lower starting composition as claimed herein to reach at the composition to be used for cleaning the substrate by using less water or more water for dilution, since it has been held obvious to discover the optimum or workable ranges by routine experimentation (see *In re Aller* 105 USPQ 233,

255 (CCPA 1955). Also, it would have been obvious at the time appellant invented the claimed process to reach at the claimed cleaning composition from more concentrated composition rather than less concentrated composition by adding less water rather than more water to dilute the concentrated composition to obtain the most satisfactory composition, since the end result of the claimed composition is same as disclosed by the reference (see *In re Gibson* 5 USPQ 231, 232 (CCPA 1930)).

Claims 1, 2, 5, 9-10, 14, 20, 24-25 and 38 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kuhn-Kuhnenfeld et al.

Kuhn-Kuhnenfeld et al (4,100,014) discloses a method of removing a residue from a substrate surface with an aqueous solution. According to the invention the aqueous solution is consisting of : (A) 1 TO 30, PREFERABLY 6 - 18% BY WEIGHT, OF HYDROFLUORIC ACID; (B) 2 TO 30, PREFERABLY 6 TO 20% BY WEIGHT, OF HYDROGEN PEROXIDE; (C) 1 TO 75, PREFERABLY 20 TO 55% BY WEIGHT, OF SULFURIC ACID; AND (D) 15 TO 95, PREFERABLY 30 TO 55% BY WEIGHT, OF WATER, Wherein the quantities of the individual components are so chosen that they will add up to a total of 100%.

The simplest manner to obtain the etching solutions is by the mixing of aqueous hydrofluoric acid and aqueous H₂O₂ of commercially-obtainable concentrations, and subsequent slow stirring of concentrated aqueous sulfuric acid, that is of about 98% by weight, into the mixture (see col. 1, lines 36-53).

Another composition of etching agent, which is also very suitable, and which has the advantage compared to the above-described solution that it is stable during a period

of several days, consists of one part by volume of 40% by weight of hydrofluoric acid, four parts by volume of 30% by weight of aqueous hydrogen peroxide, and one part by volume of concentrated aqueous sulfuric acid of about 98% by weight, the latter being slowly poured into the solution. Since this etching agent contains considerably less sulfuric acid, it has to be heated first to about 80.degree.-100.degree. C, e.g., in a water bath, before being applied to gallium phosphide discs. The sawn or lapped wafer is then preferably hung in a plastic holding device in the etching solution and taken out again after five to ten minutes, rinsed with water, and dried (see col. 2, lines 21-35).

It would have been obvious at the time appellant invented the claimed process to manipulate the percentage of the hydrogen fluoride concentration of Kuhn-Kuhnenfeld et al or time for treatment and temperature for better and efficient results (see In re Aller et al., 105 USPQ 233, 42 CCPA 824). Since the rinsing step is performed after the using an aqueous solution. Therefore, the rinse solution is inherently kept separated from the aqueous solution. Further, the cleaning solution and rinsing water are not recycled. Therefore, the solutions are inherently discarded after use. Further, one of ordinary skill in the art would manipulate the percentages and temperature for mixing the solution for better and efficient results. It would have been obvious at the time appellant invented the claimed process to use cleaning composition as disclose by Kuhn-Kuhnenfeld et al for removing residue from a surface of a substrate. Mixing hydrofluoric and sulfuric acid with hydrogen peroxide at different concentration before further diluting with water would have been obvious since it is known in the art to dilute the cleaning composition with water before cleaning a surface. Further the appellant has not shown any difference

between composition starting with different concentration, which distinguished the claimed process from the cited art.

Furthermore, it would have been obvious to manipulate a higher concentration starting composition as disclosed by the cited reference or a lower starting composition as claimed herein to reach at the composition to be used for cleaning the substrate by using less water or more water for dilution, since it has been held obvious to discover the optimum or workable ranges by routine experimentation (see *In re Aller* 105 USPQ 233, 255 (CCPA 1955). Also, it would have been obvious at the time appellant invented the claimed process to reach at the claimed cleaning composition from more concentrated composition rather than less concentrated composition by adding less water rather than more water to dilute the concentrated composition to obtain the most satisfactory composition, since the end result of the claimed composition is same as disclosed by the reference (see *In re Gibson* 5 USPQ 231, 232 (CCPA 1930)).

Claims 3-4, 17-19, 29-30, 34-35, 40, 42-43 and 45 are rejected under 35 U.S.C. 103(a) as being unpatentable over Rath et al or Ramachandran et al or Kuhn-Kuhnenfeld et al. in view of Gotoh et al.

Rath et al (U.S. patent 6, 630,074 or EP-0918081), Ramachandran (WO-02/10480) and Kuhn-Kuhnenfeld et al were discussed supra. However, the references fail to use surfactant in the cleaning solution.

Gotoh et al (5,650,041) disclose a method for removing residue from a substrate surface with a cleaning solution comprising hydrofluoric acid and surfactant. Wherein the

surfactant is based on the glycol ether or ester and the concentration of the surfactant in the cleaning solution is 100 ppm (see col. 7, lines 7-11 and 51-57).

It would have been obvious at the time appellant invented the claimed process to incorporate a surfactant in the cleaning solution of Rath et al or Ramachandran et al or Kuhn-Kuhnenfeld et al since the surfactants are well known to reduce the surface tension and increase the wet ability of the substrate. Further, one of ordinary skill in the art would manipulate the percentages and temperature for mixing the solution for better and efficient results.

Furthermore, claim 29 include a limitation "about 70% or less by weight" and "about 3° C or less", which is read as 0% percent and 0° C temperature difference. Furthermore, producing the cleaning solution which has 1-15% hydrogen peroxide, 1-10% sulfuric acid, 10-1000 ppm hydrogen fluoride and a surfactant at 1,000 ppm is equivalent to any cleaning solution having the same percentages even though they are produced by any other processes such as mixing with different percentage products. The final product solution which is used for removing the residue would have not given any other or different results since the properties of the same percentage cleaning solution would not be changed by how the product is produced.

Claims having limitations 67% of sulfuric acid and 0.4% hydrogen peroxide and 0.1% of surfactant would have been obvious to manipulate the percentages with routine experimentation to produce final product, which is disclosed by the cited prior art and has been used for cleaning and removing residue form the surfaces.

NEW GROUND(S) OF REJECTION

Claims 36-37 are rejected under 35 U.S.C. 103(a) as being unpatentable over Rath et al or Ramachandran et al in view of Gotoh et al as applied to claims 34 above, and further in view of in view of Oonishi et al.

Claim 36 depend on 35, which requires sonication. Therefore, new ground of rejection is required.

Rath et al (U.S. patent 6, 630,074 or EP-0918081) and Ramachandran (WO-02/10480) were discussed supra. However, the references fail to use sonication.

Oonishi et al (6,273,959) disclose a method for cleaning semiconductor device by contacting the semiconductor with a cleaning solution containing 24 wt. % sulfuric acid, 5 wt % hydrogen peroxide, 0.02 wt % hydrogen fluoride, 0.075 wt % n-dodecylbenzenesulfonic acid and water. The semiconductor is immersed into the cleaning solution for 10 minutes and thereafter semiconductor is subjected to overflow water rinsing for 7 minutes. While the semiconductor is simply immersed in the cleaning solution, other known techniques may be employed in combination with the immersion such as megasonic technique utilizing ultrasonic (see col. 4, lines 10-51 and col. 5, lines 60-65).

It would have been obvious at the time appellant applicant invented the claimed process to incorporate the cited steps of sonication as disclosed by Oonishi et al into the process of Rath et al or Ramachandran et al or Kuhn-Kuhnenfeld et al to enhance the removal effect with the sonication.

WITHDRAWN REJECTIONS

The following grounds of rejection are not presented for review on appeal because they have been withdrawn by the examiner.

Claim 43 is rejected under 35 U.S.C. 103(a) as being unpatentable over Rath et al (EP-0918081).

Claim 37 is rejected under 35 U.S.C. 103(a) as being unpatentable over Rath et al or Ramachandran et al or Kuhn-Kuhnenfeld et al. in view of Gotoh et al.

Claim 36 is rejected under 35 U.S.C. 103(a) as being unpatentable over Rath et al or Ramachandran et al in view of Oonishi et al.

(9) Response to Argument

The appellant argued that the examiner errs in asserting that the aqueous solution in the claims does not require sulfuric acid, as the claim language “an aqueous solution comprising sulfuric acid” illustrates the the aqueous solution comprises an amount of sulfuric acid, i.e. more than 0% and the claim language “wherein a concentration of the sulfuric acid in the aqueous solution is about 70% or less by weight” further specifies that the amount of sulfuric acid in the solution is about 70% or less, i.e. not more than about 70%.

This argument is unpersuasive because the claimed language uses “70% or less”, which reads on the 0%, since less than 70% includes 0%. No where in the claim it discloses that it is more than 0%.

The appellant argued that examiner has not identified any motivation for using an aqueous solution comprising sulfuric acid at the claimed concentration of 70% by weight or less to form an intermediate solution before further diluting the intermediated solution

with water. While the instant specification describes an undesirable highly exothermic effect when a high concentration of sulfuric acid is used to form a cleaning solution.

This argument is not persuasive because it is well known in the art that high concentrated solution produce high exothermic reaction. One of ordinary skill in the art would manipulate the high concentrated solution by increasing the time reduce the amount for adding water to reduce the exothermic reaction.

The appellant argued that all the references do not teach, show or suggest a method for removing a residue from a surface, comprising mixing an aqueous solution comprising sulfuric acid, wherein a concentration of the sulfuric acid in the aqueous solution is about 70% or less by weight, with a hydrogen peroxide solution to produce an intermediate solution and the hydrogen peroxide solution, diluting the intermediate solution with water to form a cleaning solution.

These argument are not persuasive because the claimed cleaning composition are within the ranges disclosed by the references. Only the difference is in the claimed starting composition and one of ordinary skill in the art would have manipulated the higher concentrated starting composition by adding more water to dilute the concentrated composition rather than adding less water into the less concentrated composition to reach at the cleaning composition, since it is well known to dilute the concentrated composition to dilute with water at the point of use.

(10) Related Proceeding(s) Appendix

No decision rendered by a court or the Board is identified by the examiner in the Related Appeals and Interferences section of this examiner's answer.

For the above reasons, it is believed that the rejections should be sustained.

This examiner's answer contains a new ground of rejection set forth in section (8) above. Accordingly, appellant must within **TWO MONTHS** from the date of this answer exercise one of the following two options to avoid *sua sponte dismissal of the appeal* as to the claims subject to the new ground of rejection:

(1) **Reopen prosecution.** Request that prosecution be reopened before the primary examiner by filing a reply under 37 CFR 1.111 with or without amendment, affidavit or other evidence. Any amendment, affidavit or other evidence must be relevant to the new grounds of rejection. A request that complies with 37 CFR 41.39(b)(1) will be entered and considered. Any request that prosecution be reopened will be treated as a request to withdraw the appeal.

(2) **Maintain appeal.** Request that the appeal be maintained by filing a reply brief as set forth in 37 CFR 41.41. Such a reply brief must address each new ground of rejection as set forth in 37 CFR 41.37(c)(1)(vii) and should be in compliance with the other requirements of 37 CFR 41.37(c). If a reply brief filed pursuant to 37 CFR 41.39(b)(2) is accompanied by any amendment, affidavit or other evidence, it shall be treated as a request that prosecution be reopened before the primary examiner under 37 CFR 41.39(b)(1).

Extensions of time under 37 CFR 1.136(a) are not applicable to the TWO MONTH time period set forth above. See 37 CFR 1.136(b) for extensions of time to reply for patent applications and 37 CFR 1.550(c) for extensions of time to reply for ex parte reexamination proceedings.

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Respectfully submitted,

A Technology Center Director or designee must personally approve the new ground(s) of rejection set forth in section (8) above by signing below:


GREGORY MILLS
QUALITY ASSURANCE SPECIALIST

Conferees:

Michael Barr

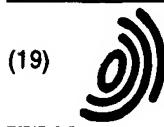

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TECHNOLOGY CENTER 1700



S. Chaudhry
July 3, 2007



(19)

Europäisches Patentamt

European Patent Office

Office européen des brevets



(11)

EP 0 918 081 A1

(12)

EUROPEAN PATENT APPLICATION

(43) Date of publication:
26.05.1999 Bulletin 1999/21

(51) Int Cl. C09K 13/08, H01L 21/311

(21) Application number: 98308276.9

(22) Date of filing: 12.10.1998

(84) Designated Contracting States:
AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU
MC NL PT SE
Designated Extension States:
AL LT LV MK RO SI

(30) Priority: 21.11.1997 US 975755
20.08.1998 US 137179

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(54) Etching composition and use

(57) An aqueous etchant composition containing about 0.01 to about 15 percent by weight of sulphuric acid and about .01 to about 20 percent by weight of hydrogen peroxide or about 1 to 30 ppm of ozone, and

about 0.01 to 100 ppm of hydrofluoric acid is effective in removing polymer and via residue from a substrate or conductive material, and especially from an integrated circuit chip having aluminum lines thereon.

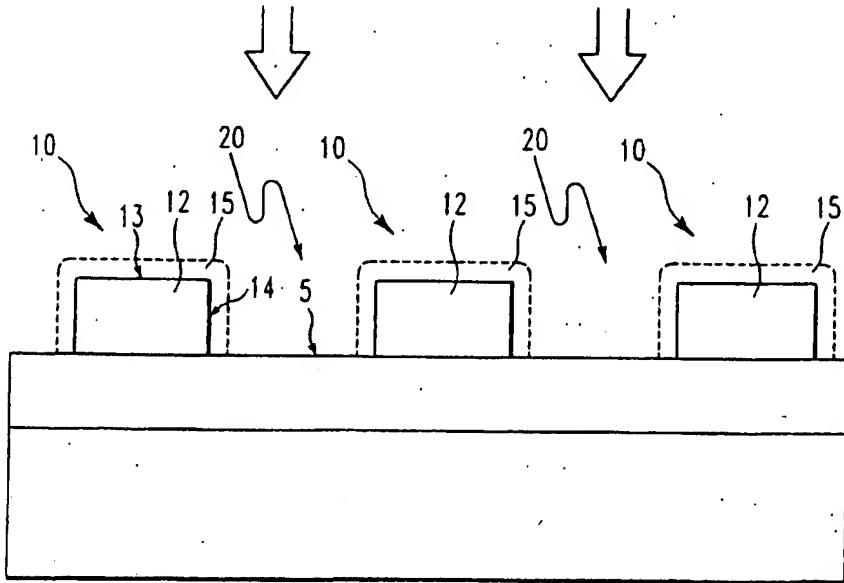


FIG. 1

EP 0 918 081 A1

Description

[0001] The present invention is concerned with an etchant composition and especially with a composition that is capable of removing inorganic polymer residue present on a substrate due to a previous metal reactive ion etching process. In addition, the present invention is directed to a method for removing such polymer residue by employing the etchant compositions of the present invention.

[0002] Aluminum and aluminum alloys are frequently used for the "metallizations" in large scale integrated circuits. The aluminum alloys include those with small amounts of copper for reducing the potential for electromigration effects, where current applied to the device induces transport of the aluminum atoms. Small amounts of silicon or titanium have been added to aluminum in order to minimize the possibility of electrical spiking that can occur in contact holes.

[0003] In order to form the patterned metallization, a series of process steps are carried out. These include depositing a layer containing aluminum, coating a photoresist film onto the aluminum containing layer, creating in the photoresist film an image of the predetermined required pattern, such as by exposing selected portions of the photoresist film to light passing through a mask or grating, and then removing either the exposed or unexposed portions of the photoresist film, depending upon the type of resist employed, and finally removing the aluminum or aluminum alloy layer in the regions not masked by the remaining photoresist film. Next, the remaining photoresist film can be removed.

[0004] More particularly, aluminum/copper metal line for BEOL wiring/interconnect in semiconductor devices are currently delineated by a reactive ion etching process. Such a process involves patterning the aluminum/copper metal layer with a photoresist and then reactive ion etching (RIE) in a chlorine environment using boron trichloride, HCl gas, Cl₂ or any other chlorine containing reactive species in order to etch away the exposed aluminum/copper layer. However, such etching process leaves a residue around the metal lines which consist of complex polymeric oxides of aluminum along with incorporating chlorine into the inorganic matrix. This is typically referred to as sidewall polymer residue, and its presence is a troublesome source of corrosion of the Al/Cu lines when exposed to the environment such as atmospheric air and/or humidity. Moreover, trace amounts of chlorine over time break down the passivating layer of aluminum oxide and corrode the underlying aluminum. Traditionally, the use of fluoride containing compounds in general, and hydrofluoric acid in particular, has been avoided because it has the tendency to degrade the quality of metal lines in general, and aluminum in particular.

[0005] Additionally, after a RIE process, sidewall polymers remain on the semiconductor wafer surface. These sidewall polymers, known as polymer rails are inorganic in nature and have various chemical constituents, including aluminum, silicon, titanium, oxygen, carbon and chlorine. Since each of these constituents tend to react and/or interfere with the semiconductor wafer function, removal of the sidewall polymers is therefore desirable. A post metal RIE cleaning step is presently done using a chromic/phosphoric acid etch, or solvent based chemistry methods. However, one common chemical constituent with a solvent based chemistry is an amine which can cause problems with certain types of photoresists.

Solutions which are based on diluted sulphuric acid and hydrogen peroxide mixtures have been introduced in an attempt to remove polymer rails. However, these methods have not been successful in removing all types of polymer rails. For example, as shown in Figure 1, in regions where there is an isolated metal pad which has a metal line density lower than the array region of the semiconductor chip and a surrounding region which is predominantly silicon oxide, current methods are less than completely successful. Figure 1 illustrates a situation where the use of the present invention would be indicated. In Figure 1, a wafer is shown after it has undergone a RIE process. The direction of the RIE process is shown by the arrow. The structure shown, 10, can be comprised of multiple layers. For example, there can be an oxide layer, 11, and a conductive layer, 12. The RIE process selectively removed portions of the conductive layer to expose the oxide layer. Accordingly, there is little to no residual left on the surface, 5, of the oxide, 11. The RIE process was, in this case, directed at the surface, 5, as shown by the arrow. However, the structure, 10, may contain a solid film, 15, covering the sidewalls, 13 and the top, 14, of the structure, 10.

[0006] Alternatively, as shown in figure 2, the etchant is useful for processing steps not involving polymer etching. For example, there could be regions in an oxide layer, where a via, 25, has been previously formed and filled. Preferably the material filling the via, 25, would be a conductive material. The via may provide electrical communication between different levels of the wafer. The etchant solution of the instant invention would also be useful for cleaning the via, 20, which is opened to the via, 25, of many types of residue material. Residue materials include, but are not limited to oxygen, silicon, carbon and elements of an underlying conductive layer.

[0007] Accordingly, methods are suggested for removing this sidewall polymer residue and polymer rails. One of the more popular method employs a chromic/phosphoric acid bath. However, this procedure is only marginally effective. Moreover, the chromic/phosphoric acid bath tends to cause some electrochemical etching of aluminum, especially near tungsten studs, which are typically present, thereby causing degradation of the aluminum metal layer.

[0008] Accordingly, providing an etching process capable of removing the sidewall polymer and via residue that does not etch conductive materials, especially aluminum, to any undesired extent, while removing the sidewall polymer residue would be desirable.

[0009] These and other features, aspects, and advantages will be more readily apparent and better understood from

the following detailed description of the invention, in which:

[0010] Figur 1 is a cross-sectional view of a portion of a s miconductor device illustrating a problem the instant invention is seeking to solve.

[0011] Figure 2 is a cross-sectional view of a portion of a semiconductor device illustrating a problem th instant invention is seeking to solve.

[0012] The present invention provides an etchant composition that is capable of removing via residue and does not adversely effect the aluminum lines or lines made of other conductive materials. Moreover, the etching composition of the present invention is quite acceptable from an environmental point of view. Additionally, the etchant composition is particularly useful in removing inorganic polymers attached to the metal lines in isolated regions where there may be a higher silicon concentration as compared to the array regions.

[0013] The etchant composition of the present invention is an aqueous solution containing about 0.01 to about 15 percent by weight of sulphuric acid, about 0.01 to about 20 percent by weight of hydrogen peroxide, or about 1 to about 30 ppm of ozone, and about 0.1 to about 100 ppm of a fluoride containing compound, preferably hydrofluoric acid.

[0014] Another aspect of the present invention is concerned with removing polymer and via residue from a substrate which comprises contacting the substrate with an aqueous solution containing about 0.01 to about 15 percent by weight of sulphuric acid, and about 0.01 to about 20 percent by weight of hydrogen peroxide, or about 1 to about 30 ppm of ozone, and about 0.1 to about 100 ppm of a fluoride containing compound, preferably hydrofluic acid.

[0015] Still other objects and advantages of the present invention will become readily apparent to those skilled in this art from the following detailed description, wherein it is shown and described only the preferred embodiments of the invention, simply by way of illustration of the best mode contemplated of carrying out the invention. As will be realized, the invention is capable of other and different embodiments, and its several details are capable of modifications in various obvious respects, without departing from the invention. Accordingly, the description is to be regarded as illustrative in nature and not as restrictive.

[0016] The etchant compositions of the present invention are aqueous solutions containing about 0.01 to about 15 percent by weight and preferably about 1 to about 10 percent by weight of sulphuric acid and about 0.01 to about 20 percent by weight of hydrogen peroxide, 0.1 to about 100 ppm of hydrofluoric acid and preferably about 1 to about 10 percent by weight of hydrogen peroxide or about 1 to about 30 ppm and preferably about 5 to about 20 ppm of ozone with the balance being substantially water, and more preferably contain about 0.1 to about 100 ppm of a fluoride containing compound, preferably hydrofluoric acid. It is not necessary that the fluoride containing compound be hydrofluoric acid. It is necessary that the fluoride containing compound contribute free fluoride to the etchant composition. It is preferred that the fluoride containing compound be able to contribute the equivalent of at least about 8 and at most about 12 ppm of hydrofluoric acid to the etchant solution. A preferred composition of the present invention is an aqueous solution of about 8 percent by weight of sulphuric acid, and about 1.5 percent by weight of hydrogen peroxide and the remainder being substantially water, and more preferably contain about 10 ppm of a fluoride containing compound, preferably hydrofluoric acid. This composition is preferably employed at temperatures of about 35°C. Another more preferred composition of the present invention is an aqueous solution of about 9 percent by weight sulphuric acid and about 4 percent by weight hydrogen peroxide and the remainder being substantially water, and more preferably contain about 10 ppm of a fluoride containing compound, preferably hydrofluoric acid. This composition is preferably employed at temperatures of about 35°C and is especially preferred for removing thicker and more tenacious sidewall polymer. Yet another more preferred composition of the present invention is an aqueous solution of about 5% by weight of sulphuric acid, about 12% by weight of hydrogen peroxide and about 10 ppm hydrogen fluoride. The water employed is preferably deionized water.

[0017] These etchant compositions of the present invention can be prepared by admixing an aqueous sulphuric acid such as a 98 percent by weight solution with an aqueous solution of hydrogen peroxide such as a 30 percent by weight solution and aqueous hydrofluoric acid such as 49 percent by weight and adding these solutions to water in an amount to provide the desired percentage of the sulphuric acid, hydrogen peroxide, and hydrofluoric acid.

[0018] The compositions containing the ozone can be prepared by bubbling ozone gas into the aqueous composition containing the desired amounts of sulphuric acid and water, or diffusing ozone gas through a membrane into water and then adding sulphuric acid to the water, or by any other suitable method.

[0019] The etchant compositions of the present invention remove the sidewall polymer residue remaining after the reactive ion etching and with it removes any embedded chlorine. The etchant compositions of the present invention also clean vias of other residues, including, but not limited to, oxygen, carbon, silicon and elements of an underlying conductive material. Furthermore, the etchant compositions of the present invention, at most, only mildly etch the aluminum/copper line. Since hydrofluoric acid is known to etch aluminum/copper, the amounts in the etchant solution must be small. When the amounts of hydrofluoric acid in the claim d etchant solution are kept small (less than about 40 ppm) the potentially detrimental effects of the hydrofluoric acid on aluminum/copper are minimized. In most cases, no evidence of any local etching of the aluminum, even aluminum in the vicinity of tungsten studs, has been observed. The tungsten seems to act as a catalyst in etching aluminum when using the prior art chromic/phosphoric acid bath.

They also can be used to remove and clean residues after chemical-mechanical polishing and other "cleaning" processes.

[0020] The etchant compositions of the present invention also result in the formation of a pristine native oxide of aluminum which acts as a passivating layer against subsequent corrosion. For instance, an oxide thickness of about 30 angstroms as measured by Auger Spectroscopy is obtained using an etchant composition at about 35°C containing about 2.0 percent by weight of sulphuric acid, about 1.0 percent by weight of hydrogen peroxide and about 10 ppm of hydrofluoric acid.

[0021] The above disclosed relative amounts of components of the composition tend to prevent redeposition of the polymer residue. This occurs by having the pH of the etchant such that the charges of the zeta potentials of the aluminum oxide species and the silicon oxide surface of the substrate cause a repulsion interaction between the surfaces. The desired pH is ensured by observing the amounts of ingredients mentioned above. The zeta potential reflects the charge induced on a surface caused by the interactions of that surface with the ions in the solution, primarily, hydrogen and hydroxide. At a certain solution pH, the net surface charge will be zero which occurs at about pH 2 to 3 for silica and at about pH 9 to 10 for alumina. When the pH is less than this point of zero charge, the charge on the surface would be positive. For the case of a polymer residue removed in an acid medium, the propensity of redeposition of the residue on either the oxidized aluminum surface or on the silica dielectric material is reduced because all surfaces would have a charge of the same sign with zeta potentials also of the same sign. It should be noted that HF acid in the concentrations employed in the present invention (less than about 100 ppm) do not result in significant changes in the pH of the resulting etchant solution.

[0022] The etchants of the present invention can be used to contact the substrate where the polymer or via residue is to be removed by any known technique, such as dipping in a bath or preferably spraying the composition on the substrate or silicon wafer having the aluminum copper lines thereon. Typically, the composition is sprayed at a temperature of about 25 to about 95°C and preferably at a temperature of about 30 to about 50°C for about 1 to about 8 minutes, typical of which is about 2 minutes. Following this, the wafer can be subjected to a deionized water rinse followed by drying.

[0023] The process of the present invention is also capable of removing chlorine embedded material along with the aluminum/copper sidewall polymer residue. The aluminum/copper profiles achieved by the present invention can be smoother than those in the prior art and are capable of being substantially free of electrochemical or accelerated etching of Al/Cu lines near tungsten studs.

[0024] The following Table I illustrates various etch rates of the Al/Cu with the etchant compositions of the present invention. The percentages in Table I are volume percents for 98 percent by weight H₂SO₄ and 30 percent by weight of H₂O₂ (remainder is H₂O).

TABLE I

| Etch rate of Al/Cu with sulphuric/peroxide | | | | |
|--|---------------------|--------------------|--|----------------|
| Etch rate of Al/Cu samples (Gravimetry) | | | | |
| | Sulphuric (percent) | Peroxide (percent) | Etch rate ¹ (Angstroms/min) | Temperature °C |
| 40 | 2.5 | 2.5 | 51/116 | 35/45 |
| | 2.5 | 5.0 | 57/109 | 35/45 |
| | 5.0 | 2.5 | 68/148 | 35/45 |
| | 5.0 | 5.0 | 59/136 | 35/45 |
| 45 | 7.5 | 5.0 | 78 | 35 |
| | 7.5 | 7.5 | 77 | 35 |

¹Etch rate increases with temperature

[0025] Table II illustrates various etch rates of the aluminum with 0.5% copper alloy with the etchant compositions of the present invention. The percentages in Table II are volume percents for 98 percent by weight H₂SO₄ and 30 percent by weight of H₂O₂ (remainder is H₂O).

TABLE II

| Etch rate of Al/Cu with sulphuric/peroxide /hydrofluoric (gravimetry) | |
|---|---------------------------|
| HF (ppm) | Etch Rate (Angstroms/min) |
| 0 | 18 |
| 10 | 69 |

TABLE II (continued)

| Etch rat of Al/Cu with sulphuric/peroxide/hydrofluori (gravimetry) | |
|--|--------------------------|
| HF (ppm) | Etch Rat (Angstroms/min) |
| 20 | 107 |
| 30 | 173 |

[0026] Etch rates were evaluated by measuring the total Al/Cu etched (gravimetry) with 30 minute process time at about 35°C. The solution employed to develop Table II comprised about 9 percent by weight of sulphuric acid, 4 percent by weight of hydrogen peroxide with hydrofluoric acid, with the addition of the amounts of hydrofluoric acid shown in the first column and with the remainder of the solution comprising water.

[0027] The percentages shown in the above in Table I and Table II are exemplary only and others within the scope of the invention can likewise be employed. The measured etch rates were evaluated by averaging the total amount of Al-Cu etched over the process time. In this disclosure there are shown and described only the preferred embodiments of the invention, but, as aforementioned, it is to be understood that the invention is capable of use in various combinations and environments and is capable of changes or modifications within the scope of the inventive concept as expressed herein.

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Claims

1. An etchant composition in an aqueous solution comprising:
 - a) about 0.01 to about 15 percent by weight of sulphuric acid;
 - b) about 0.1 to about 100 ppm of a fluoride containing compound; and
 - c) a member selected from the group consisting of about 0.01 to about 20 percent by weight of hydrogen peroxide and about 1 to about 30 ppm of ozone.
2. An etchant composition as claimed in claim 1 wherein the fluoride containing compound comprises hydrofluoric acid.
3. An etchant composition as claimed in claim 1 or claim 2 which comprises about 0.01 to about 20 percent by weight of hydrogen peroxide.
4. An etchant composition as claimed in claim 1 or claim 2 which comprises about 1 to about 30 ppm of ozone.
5. An etchant composition as claimed in any preceding claim which comprises about 1 to about 10 percent by weight of sulphuric acid and about 1 to about 10 percent by weight of hydrogen peroxide and about 1 to about 50 ppm of hydrofluoric acid.
6. An etchant composition as claimed in any one of claims 1 to 5 which comprises about 5 percent by weight of sulphuric acid, about 12 percent by weight of hydrogen peroxide and about 10 ppm of hydrofluoric acid.
7. An etchant composition as claimed in any preceding claim which includes deionized water.
8. A method of removing inorganic polymer residue present on a substrate employing the etchant composition as claimed in any preceding claim.
9. A method of removing polymer and via residue from a substrate; which method comprises contacting the substrate with an aqueous solution comprising:
 - a) about 0.01 to about 15 percent by weight of sulphuric acid;
 - b) about 0.1 to about 100 ppm of a fluoride containing compound; and

c) a member selected from the group consisting of about 0.01 to about 20 percent weight of hydrogen peroxide and about 1 to about 30 ppm of ozone.

10. A method as claimed in claim 8 or claim 9 wherein the fluoride containing compound comprises hydrofluoric acid.

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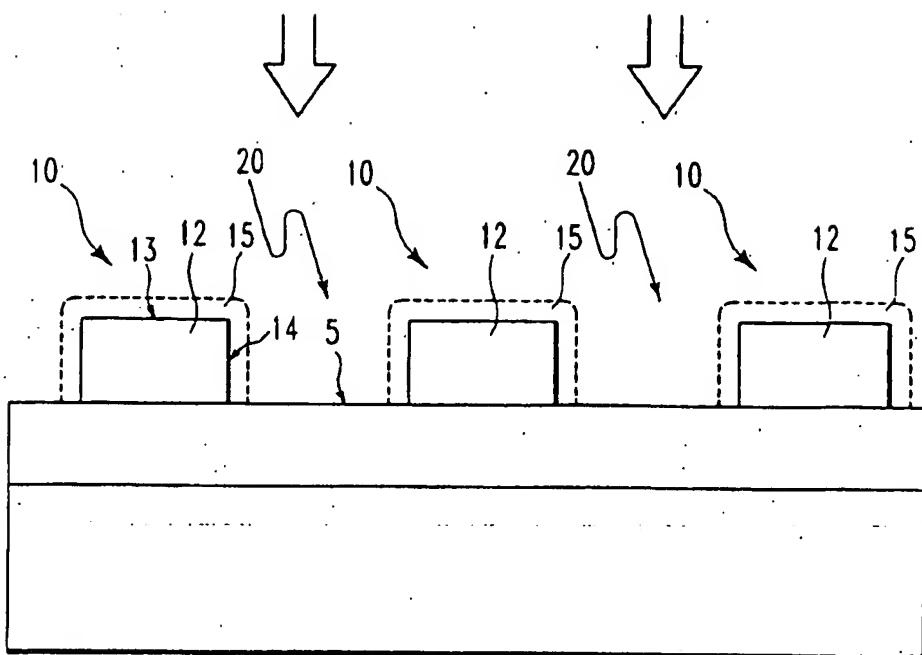


FIG. 1

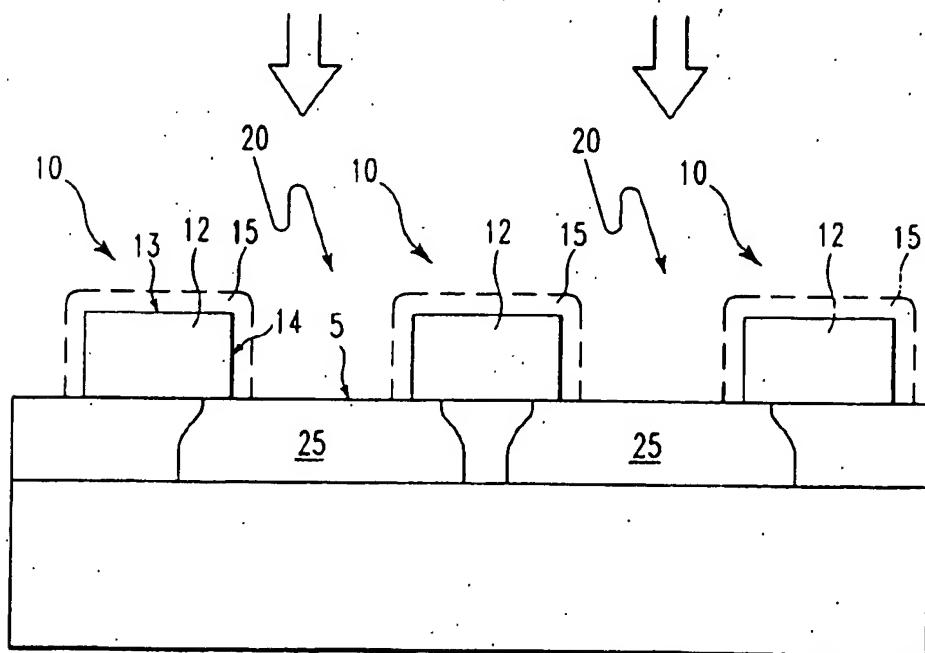


FIG. 2



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EUROPEAN SEARCH REPORT

Application Number

EP 98 30 8276

| DOCUMENTS CONSIDERED TO BE RELEVANT | | | |
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| Place of search THE HAGUE | | Date of completion of the search 25 February 1999 | Examiner Shade, M |
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| X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document | | | |
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EUROPEAN SEARCH REPORT

Application Number
EP 98 30 8276

| DOCUMENTS CONSIDERED TO BE RELEVANT | | | | | | | | | |
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| <p>The present search report has been drawn up for all claims</p> <table border="1"> <tr> <td>Place of search</td> <td>Date of completion of the search</td> <td>Examiner</td> </tr> <tr> <td>THE HAGUE</td> <td>25 February 1999</td> <td>Shade, M</td> </tr> </table> <p>CATEGORY OF CITED DOCUMENTS</p> <p>X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document</p> <p>T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document</p> | | | | Place of search | Date of completion of the search | Examiner | THE HAGUE | 25 February 1999 | Shade, M |
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| THE HAGUE | 25 February 1999 | Shade, M | | | | | | | |

ANNEX TO THE EUROPEAN SEARCH REPORT
ON EUROPEAN PATENT APPLICATION NO.

EP 98 30 8276

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25-02-1999

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(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
7 February 2002 (07.02.2002)

PCT

(10) International Publication Number
WO 02/10480 A2

(51) International Patent Classification⁷: C23G 1/00

(21) International Application Number: PCT/US01/23905

(22) International Filing Date: 30 July 2001 (30.07.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
09/627,669 28 July 2000 (28.07.2000) US

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(53) Designated States (national): JP, KR.

(84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

Published:

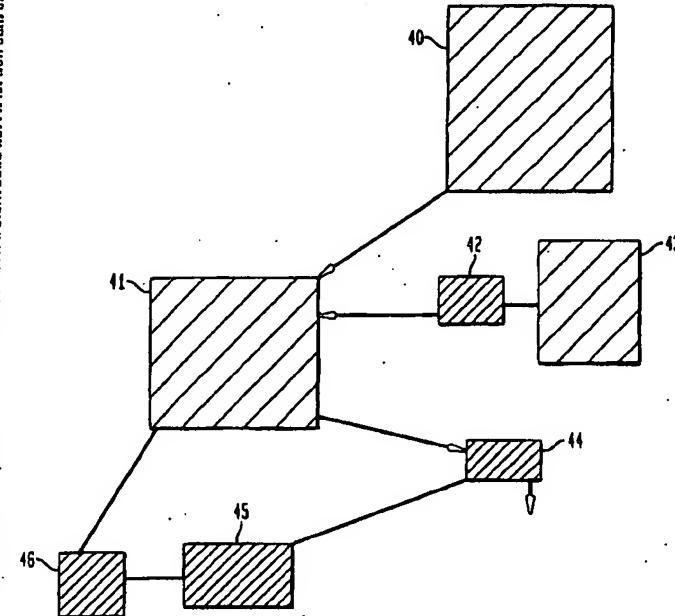
— without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: ETCHING COMPOSITION AND USE THEREOF WITH FEEDBACK CONTROL OF HF IN BEOL CLEAN



WO 02/10480 A2



sample through a feedback loop; d) comprising the sample to a standard dilute solution of HF to obtain a value of HF concentration in the sample; e) inputting the value to a tank tool recipe control to cause any needed adjustment in concentration of HF to a predetermined range, either in the mixing tank or the wafer processing vessel; and f) subjecting the wiring/interconnect of the semiconductor device to etching by the etchant composition to remove sidewall polymer, polymer rails and via residue without etching conductive materials during removal of sidewall polymer, polymer rails, and via residue.

(57) Abstract: A process for providing an aqueous back-end-of-line (BEOL) clean with feed-back control to monitor the active component of HF in the clean, for a wiring/interconnect of a reactive ion etched semiconductor device, comprising: subjecting the reactive ion etched semiconductor device to a post metal RIE clean using an etchant composition comprising about 0.01 to about 15 percent by weight of sulfuric acid; about 0.1 to about 100 ppm of a fluoride containing compound; and a member selected from the group consisting of about 0.01 to about 20 percent by weight of hydrogen peroxide or about 1 to about 30 ppm of ozone, comprising: a) mixing water, sulfuric acid and hydrogen peroxide in a mixing tank; b) mixing HF directly into the mixing tank or adding HF into a separate vessel for wafer processing, either before, during or after the mixture water, sulfuric acid and hydrogen peroxide as a mixture is transported to the separate tank for wafer processing; c) taking a sample comprising HF from the mixing tank or HF from the wafer processing tank and sending the

**ETCHING COMPOSITION AND USE THEREOF WITH FEEDBACK
CONTROL OF HF IN BEOL CLEAN****DESCRIPTION**Related Applications

This application is a continuation in part of copending U.S. Patent application serial no. 09/137,179 filed 8/20/98, which is a continuation in part of copending U.S. Patent application serial no. 08/975,755 filed on November 21, 1997 entitled "Etching Composition and Use thereof", assigned to the present assignee, which is a continuation in part of copending U.S. Patent application serial no. 08/832,999 filed on April 4, 1997 entitled "Etching Composition and Use Thereof", assigned to the present assignee and all of which are incorporated by reference in their entirety.

Technical Field

The present invention is concerned with an etchant composition and especially with a composition that is capable of removing inorganic polymer residue present on a substrate due to a previous metal reactive ion etching process. In addition, the present invention is directed to a method for removing such polymer and via residue by employing the etchant compositions of the present invention.

BACKGROUND OF THE INVENTION

Aluminum and aluminum alloys are frequently used for the "metallizations" in large scale integrated circuits. The aluminum alloys include those with small amounts of copper for reducing the potential for electromigration effects, where current applied to the device induces transport of the aluminum atoms. Small amounts of silicon or titanium have been added to aluminum in order to minimize the possibility of electrical spiking that can occur in contact holes.

In order to form the patterned metallization, a series of process steps are carried out. These include depositing a layer containing aluminum, coating a photoresist film onto the aluminum containing layer, creating in the photoresist film an image of the predetermined required pattern, such as by exposing selected portions of the photoresist film to light passing through a mask or grating, and then removing either the exposed or unexposed portions of the photoresist film, depending upon the type of resist employed, and finally removing the

aluminum or aluminum alloy layer in the regions not masked by the remaining photoresist film. Next, the remaining photoresist film can be removed.

More particularly, aluminum/copper metal line for BEOL wiring/interconnect in semiconductor devices are currently delineated by a reactive ion etching process. Such a process involves patterning the aluminum/copper metal layer with a photoresist and then reactive ion etching (RIE) in a chlorine environment using boron trichloride, HCl gas, Cl₂ or any other chlorine containing reactive species in order to etch away the exposed aluminum/copper layer. However, such etching process leaves a residue around the metal lines which consist of complex polymeric oxides of aluminum along with incorporating chlorine into the inorganic matrix. This is typically referred to as sidewall polymer residue, and its presence is a troublesome source of corrosion of the Al/Cu lines when exposed to the environment such as atmospheric air and/or humidity. Moreover, trace amounts of chlorine over time break down the passivating layer of aluminum oxide and corrode the underlying aluminum. Traditionally, the use of fluoride containing compounds in general, and hydrofluoric acid in particular, has been avoided because it has the tendency to degrade the quality of metal lines in general, and aluminum in particular.

Additionally, after a RIE process, sidewall polymers remain on the semiconductor wafer surface. These sidewall polymers known as "polymer rails" are inorganic in nature and have various chemical constituents, including aluminum, silicon, titanium, oxygen, carbon and chlorine. Since each of their constituents tends to react and/or interfere with the semiconductor wafer function, removal of the sidewall polymers is therefore desirable. A post metal RIE cleaning step is presently done using a chromic/phosphoric acid etch, or solvent-based chemistry methods. However, one common chemical constituent with a solvent based chemistry is an amine which can cause problems with certain types of photoresists. Solutions which are based on diluted sulfuric acid and hydrogen peroxide mixtures have been introduced in an attempt to remove polymer rails. However, these methods have not been successful in removing all types of polymer rails.

For example, as shown in FIG. 1, in regions where there is an isolated metal pad which has a metal line density lower than the array region of the semiconductor chip and a surrounding region which is predominantly silicon oxide, current methods are less than completely successful. FIG. 1 illustrates a situation where the use solution of the present invention would be indicated. In FIG. 1, a wafer is shown after it has undergone a RIE process. The direction of the RIE process is shown by the arrow. The structure shown, 10,

can be comprised of multiple layers. For example, there can be an oxide layer, 11, and a conductive layer, 12. The RIE process selectively removed portions of the conductive layer to expose the oxide layer. Accordingly, there is little to no residual left on the surface, 5, of the oxide, 11. The RIE process was, in this case, directed at the surface, 5, as shown by the arrow. However, the structure, 10, may contain a solid film, 15, covering the sidewalls, 13 and the top, 14, of the structure, 10.

Alternatively, as shown in FIG. 2, the etchant is useful for processing steps not involving polymer etching. For example, there could be regions in an oxide layer, where a via, 25, has been previously formed and filled. Preferably the material filling the via, 25, would be a conductive material. The via may provide electrical communication between different levels of the wafer. The etchant solution of the instant invention would also be useful for cleaning the via, 20, which is opened to the via, 25, of many types of residue material. Residue materials include, but are not limited to oxygen, silicon, carbon and elements of an underlying conductive layer.

Accordingly, methods are utilized for removing this sidewall polymer residue, polymer rails, and via residue. One of the more popular methods employs a chromic/phosphoric acid bath. However, this procedure is only marginally effective. Moreover, the chromic/phosphoric acid bath tends to cause some electrochemical etching of aluminum, especially near a tungsten stud, which are typically present, thereby causing degradation of the aluminum metal layer.

Therefore, providing an etching process capable of removing the sidewall polymer and via residue that does not etch conductive materials, especially aluminum, to any undesired extent, while removing the sidewall polymer, polymer rails, and via residue would be desirable.

It would also be desirable to use the etchant composition of the invention in a controlled manner for aqueous back-end-of-line (BEOL) clean for interconnects, as the aqueous chemistry of an aqueous mixture of sulfuric acid, hydrogen peroxide, and very small amounts of HF introduces new challenges in the manufacturing process. That is, it is especially important to control and monitor the concentration of the active etching constituent, HF. Because of the fact that the concentration level of HF in the mixture is in the ppm range, it is necessary to incorporate a feedback control mechanism to use this composition effectively for interconnect cleaning in the BEOL.

BRIEF DESCRIPTION OF THE DRAWINGS

These and other features, aspects, and advantages will be more readily apparent and better understood from the following detailed description of the invention, in which:

FIG. 1 is a cross-sectional view of a portion of a semiconductor device illustrating a problem the instant invention is seeking to solve.

FIG. 2 is a cross-sectional view of a portion of a semiconductor device illustrating a problem the instant invention is seeking to solve.

FIG. 3 is a schematic of a flow diagram showing the method of delivery of the chemistry to an open tank for an aqueous back-end-of-line (BEOL) clean for interconnects.

FIG. 4 is a schematic of a flow diagram for on-line HF monitoring of the aqueous clean for back-end-of-line (BEOL) clean for interconnects.

FIG. 5 is a schematic of a flow diagram of a process control for the aqueous back-end-of-line (BEOL) clean for interconnects where the process implementation is extended to wafer processing in a spray processor.

SUMMARY OF INVENTION

One object of the present invention is to provide an etchant composition that is capable of removing via residue and not adversely effect the aluminum lines or lines made of other conductive materials. Moreover, the etching composition of the present invention is quite acceptable from an environmental point of view. Additionally, the etchant composition is particularly useful in removing inorganic polymers attached to the metal lines in isolated regions where there may be a higher silicon concentration as compared to the array regions.

Another object of the present invention is to remove polymer and via residue from a substrate which comprises contacting the substrate with an aqueous solution containing about 0.01 to about 15 percent by weight of sulfuric acid, and about 0.01 to about 20 percent by weight of hydrogen peroxide, or about 1 to about 30 ppm of ozone, and about 0.1 to about 100 ppm of a fluoride containing compound.

A further object of the present invention is to provide a process control methodology for the new aqueous clean for use in back-end-of-line (BEOL) clean for interconnects, where the aqueous chemistry is an aqueous mixture of sulfuric acid, hydrogen peroxide, and very small amounts of HF, as the use of this chemistry in manufacturing introduces new challenges, and it is important to control and monitor the concentration of the active etching constituents, HF.

The etchant composition of the present invention is an aqueous solution containing about 0.01 to about 15 percent by weight of sulfuric acid, about 0.01 to about 20 percent by weight of hydrogen peroxide, or about 1 to about 30 ppm of ozone, and about 0.1 to about 100 ppm of hydrofluoric acid.

Still other objects and advantages of the present invention will become readily apparent to those skilled in this art from the following detailed description, wherein it is shown and described only the preferred embodiments of the invention, simply by way of illustration of the best mode contemplated of carrying out the invention. As will be realized, the invention is capable of other and different embodiments, and its several details are capable of modifications in various obvious respects, without departing from the invention. Accordingly, the description is to be regarded as illustrative in nature and not as restrictive.

BEST AND VARIOUS MODES FOR CARRYING OUT INVENTION

The etchant compositions of the present invention are aqueous solutions containing about 0.01 to about 15 percent by weight and preferably about 1 to about 10 percent by weight of sulfuric acid and about 0.01 to about 20 percent by weight of hydrogen peroxide, 0.1 to about 100 ppm of hydrofluoric acid and preferably about 1 to about 10 percent by weight of hydrogen peroxide or about 1 to about 30 ppm and preferably about 5 to about 20 ppm of ozone with the balance being substantially water, and more preferably contain about 0.1 to about 100 ppm of a fluoride containing compound, preferably hydrofluoric acid. It is not necessary that the fluoride containing compound be hydrofluoric acid. It is necessary that the fluoride containing compound contribute free fluoride to the etchant composition. It is preferred that the fluoride containing compound be able to contribute the equivalent of at least about 8 and at most about 12 ppm of hydrofluoric acid to the etchant solution. A preferred composition of the present invention is an aqueous solution of about 8 percent by weight of sulfuric acid, and about 1.5 percent by weight of hydrogen peroxide and the remainder being substantially water, and more preferably contain about 10 ppm of a fluoride containing compound, preferably hydrofluoric acid. This composition is preferably employed at temperatures of about 35°C. Another more preferred composition of the present invention is an aqueous solution of about 9 percent by weight sulfuric acid and about 4 percent by weight hydrogen peroxide and the remainder being substantially water, and more preferably contain about 10 ppm of a fluoride containing compound, preferably hydrofluoric acid. This composition is preferably employed at temperatures of about 35°C and is especially preferred

for removing thicker and more tenacious sidewall polymer. Yet another more preferred composition of the present invention is an aqueous solution of about 5% by weight of sulfuric acid, about 12% by weight of hydrogen peroxide and about 10 ppm hydrogen fluoride. The water employed is preferably deionized water.

These etchant compositions of the present invention can be prepared by admixing an aqueous sulfuric acid such as a 98 percent by weight solution with an aqueous solution of hydrogen peroxide such as a 30 percent by weight solution and aqueous hydrofluoric acid such as 49 percent by weight and adding these solutions to water in an amount to provide the desired percentage of the sulfuric acid, hydrogen peroxide, and hydrofluoric acid.

The compositions containing the ozone can be prepared by bubbling ozone gas into the aqueous composition containing the desired amounts of sulfuric acid and water, or diffusing ozone gas through a membrane into water and then adding sulfuric acid to the water, or by any other suitable method.

The etchant compositions of the present invention remove the sidewall polymer residue remaining after the reactive ion etching and with it removes any embedded chlorine. The etchant compositions of the present invention also clean vias of other residues, including, but not limited to, oxygen, carbon, silicon and elements of an underlying conductive material. Furthermore, the etchant compositions of the present invention, at most, only mildly etch the aluminum/copper line. Since hydrofluoric acid is known to etch aluminum/copper, the amounts in the etchant solution must be small. When the amounts of hydrofluoric acid in the claimed etchant solution are kept small (less than about 40 ppm) the potentially detrimental effects of the hydrofluoric acid on aluminum/copper are minimized. For HF concentration greater than 40 ppm and less than 100 ppm, the process times would be short, typically less than 1 minute. In most cases, no evidence of any local etching of the aluminum, even aluminum in the vicinity of tungsten studs, has been observed. The tungsten seems to act as a catalyst in etching aluminum when using the prior art chromic/phosphoric acid bath. They also can be used to remove and clean residues after chemical-mechanical polishing and other 'cleaning' processing steps.

The etchant compositions of the present invention also result in the formation of a pristine native oxide of aluminum which acts as a passivating layer against subsequent corrosion. For instance, an oxide thickness of about 30 angstroms as measured by Auger Spectroscopy is obtained using an etchant composition at about 35°C containing about 2.0

percent by weight of sulfuric acid, about 1.0 percent by weight of hydrogen peroxide and about 10 ppm of hydrofluoric acid.

The above disclosed relative amounts of components of the composition tend to prevent redeposition of the polymer residue. This occurs by having the pH of the etchant such that the charges of the zeta potentials of the aluminum oxide species and the silicon oxide surface of the substrate cause a repulsion interaction between the surfaces. The desired pH is ensured by observing the amounts of ingredients mentioned above. The zeta potential reflects the charge induced on a surface caused by the interactions of that surface with the ions in the solution, primarily, hydrogen and hydroxide. At a certain solution pH, the net surface charge will be zero which occurs at about pH 2 to 3 for silica and at about pH 9 to 10 for alumina. When the pH is less than this point of zero charge, the charge on the surface would be positive. For the case of a polymer residue removed in an acid medium, the propensity of redeposition of the residue on either the oxidized aluminum surface or on the silica dielectric material is reduced because all surfaces would have a charge of the same sign with zeta potentials also of the same sign. It should be noted that HF acid in the concentrations employed in the present invention (less than about 100 ppm) do not result in significant changes in the pH of the resulting etchant solution.

The etchants of the present invention can be used to contact the substrate where the polymer or via residue is to be removed by any known technique, such as dipping in a bath or preferably spraying the composition on the substrate or silicon wafer having the aluminum copper lines thereon. Typically, the composition is sprayed at a temperature of about 25 to about 95°C and preferably at a temperature of about 30 to about 50°C for about 1 to about 8 minutes, typical of which is about 2 minutes. Following this, the wafer can be subjected to a deionized water rinse followed by drying. For certain spin-spray processors, with HF content close to 100 ppm, process times less than 1 minute can be used.

The process of the present invention is also capable of removing chlorine embedded material along with the aluminum/copper sidewall polymer residue. The aluminum/copper profiles achieved by the present invention can be smoother than those in the prior art and are capable of being substantially free of electrochemical or accelerated etching of Al/Cu lines near tungsten studs.

The following Table 1 illustrates various etch rates of the Al/Cu with the etchant compositions of the present invention. The percentages in Table 1 are volume percents for 98 percent by weight H₂SO₄ and 30 percent by weight of H₂O₂ (remainder is H₂O).

TABLE I
Etch rate of Al/Cu with sulfuric/peroxide

| Etch rate of Al/Cu samples (Gravimetry) | | | |
|---|-----------------------|---|-------------------|
| Sulfuric (percent) | Peroxide (percent) | Etch rate ¹ (Angstroms/min) | Temperature °C |
| 2.5 | 2.5 | 51/116 | 35/45 |
| 2.5 | 5.0 | 57/109 | 35/45 |
| 5.0 | 2.5 | 68/148 | 35/45 |
| 5.0 | 5.0 | 59/136 | 35/45 |
| 7.5 | 5.0 | 78 | 35 |
| 7.5 | 7.5 | 77 | 35 |

¹Etch rate increases with temperature

Table II illustrates various etch rates of the aluminum with 0.5% copper alloy with the etchant compositions of the present invention. The percentages in Table II are volume percents for 98 percent by weight H₂SO₄, and 30 percent by weight of H₂O₂ (remainder is H₂O).

TABLE II
Etch rate of Al/Cu with sulfuric/peroxide/hydrofluoric (gravimetry)

| HF (ppm) | Etch Rate (Angstroms/min) |
|-------------|------------------------------|
| 0 | 18 |
| 10 | 69 |
| 20 | 107 |
| 30 | 173 |

Etch rates were evaluated by measuring the total Al/Cu etched (gravimetry) with 30 minute process time at about 35°C. The solution employed to develop Table II comprised about 9 percent by weight of sulfuric acid, 4 percent by weight of hydrogen peroxide with hydrofluoric acid, with the addition of the amounts of hydrofluoric acid shown in the first column and with the remainder of the solution comprising water.

The percentages shown in the above in Table I and Table II are exemplary only and others within the scope of the invention can likewise be employed. The measured etch rates were evaluated by averaging the total amount of Al-Cu etched over the process time.

In the context of the invention, it is also contemplated to provide process control for aqueous back-end-of-line (BEOL) clean for interconnects for the new aqueous clean. The aqueous chemistry is an aqueous mixture of sulfuric acid, hydrogen peroxide, and very small amounts of HF, and use of this chemistry in manufacturing introduces new challenges. Because of these new challenges, it is especially important to control and monitor the concentration of the active etching constituent, HF. This is so because, the concentration level of HF in the mixture is in the ppm range, thereby making it necessary to incorporate a feedback control mechanism to use this composition effectively for interconnect cleaning in the BEOL.

In the BEOL clean the methodology used to deliver the chemistry to the open tank is first introduced, i.e. the tank is used to deliver the main chemicals for the process: water, sulfuric acid, and hydrogen peroxide, in the range already specified. The active component, HF, is mixed directly with the above mixture in the mixing tank or delivered directly to the bath separately. Reference is now made to FIG. 3, which shows the schematic of the methodology.

In FIG. 3, water, H_2SO_4 , and H_2O_2 are placed in a mixing tank 30 in amounts previously specified. The active component HF, may be mixed directly into the mixing tank 30 or delivered separately from tank 31 by use of spiking pump 32, into a tank for wafer processing 33 either before, during or after the mixture of water, H_2SO_4 , and H_2O_2 , is mixed in tank 30 and transported to the tank for wafer processing 33.

In order to use the chemistry of the aqueous composition effectively in production, it is necessary to monitor the active component, HF. Therefore, the control is set-up in an active mode where the concentration information is sent to the two controls for processing wafers in the chemistry tank 33 as follows:

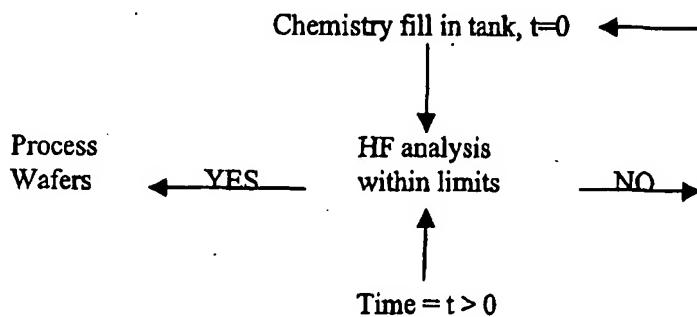
- a) a sample from the chemistry is taken for analysis;
- b) the sample from the chemistry tank is sent to a HF monitor;
- c) the value of the measured amount of HF is input to the tool recipe controls;
- d) validation of the effective range within the process recipe is made to enable processing of the wafer lot;
- e) the sample used for analysis is then sent to a process drain and is not used for processing wafers;
- f) the foregoing steps a) - e) are repeated periodically, i.e. about 30 minutes.

This online HF monitoring set-up is verified periodically, and the value of the HF

concentration is checked against the standard. The standard may be an ion selective electrode, which is well known in the art. Specifically, for the disclosure ranges, the range of HF is preferably set between 5 and 15 ppm, more preferably, between 7- 12 ppm, and most preferably, in an operation range of between 8-10 ppm.

A schematic with the HF process control methodology is shown in FIG. 4, where a mixing tank 40 is employed to mix water, sulfuric acid and hydrogen peroxide. The mixture from tank 40 is then supplied to tank 41 for wafer processing, where a spiking pump 42 is used to supply a standard solution of dilute HF from tank 43. The mixture of water, H₂SO₄, and H₂O₂, and dilute solution of HF is sampled by a feedback loop for HF analysis to a chemical analyzer for HF, 44. The value of the HF concentration is sent to a tank tool recipe control 45. If the HF concentration is too low, additional amounts of dilute HF is spiked from 43 into 41. If the concentration of HF is too high, additional amounts of a mixture of water, H₂SO₄, and H₂O₂ is supplied to tank 41 to enable the correct amount of mixture of water, H₂SO₄, and H₂O₂ to be mixed with the dilute standard solution of HF and added to the chamber for wafer processing, 46. The sample taken from tank 41 is discarded or drained, as shown by the arrow leaving tank 44.

At the initial tank filling of tank 41, a sample is taken for analysis to determine the amount of the active component, HF. If the value is within the prescribed range as indicated by the tank toll recipe control, the wafers are processed in chamber 46. The control feedback schematic is as follows:



The process control feedback scheme shown above is for wafer processing in a tank; however, the process implementation may also be extended to wafer processing in a spray processor, where the chamber is either opened or closed.

Wafer processing in a spray processor utilizing the etchant composition of the invention is shown in FIG. 5. In FIG. 5, in which a spray processor is used, the HF spiking

from spiking pump 50 is done directly in the mixing tank 51 for the initial mixing of water, sulfuric acid, and hydrogen peroxide after sample 52 taken from 51 subsequent to supplying a standard solution of dilute HF from tank 53, through a feedback loop 54 for chemical analysis of HF value by chemical analyzer 55. If the amounts of HF is too low, additional standard solution of dilute HF is spiked into tank 51. If the amount of HF is too high a lesser amount of HF is supplied from tank 53. When the correct ratio of the ingredients in the invention etching composition is obtained, the mixture is sent to a spray tool 56 and then sent of chamber 57 where the wafer is processed. As in the case of FIG. 4, the value of the sample taken for chemical analysis of HF at 55 is sent to a spray tool recipe control 58, and this measured value of input to the spray tool recipe control affects validation of the effective range of HF to the chamber in which the wafer is processed. The actual sample taken for comparison in the chemical analyzer for HF is discarded or drained as shown by the arrow standing vertically downward from chemical analyzer 55.

In the context of the invention, a similar process control methodology is extendable to a single tank tool, where the processing chamber and the post water rinse would be done in the same tank.

In this disclosure there are shown and described only the preferred embodiments of the invention, but, as aforementioned, it is to be understood that the invention is capable of changes or modifications within the scope of the inventive concept as expressed.

What is claimed is:

1. An etchant composition in an aqueous solution comprising:
 - a) about 0.01 to about 15 percent by weight of sulfuric acid;
 - b) about 0.1 to about 100 ppm of a fluoride containing compound; and
 - c) a member selected from the group consisting of about 0.01 to about 20 percent by weight of hydrogen peroxide or about 1 to about 30 ppm of ozone.
2. The etchant composition according to claim 1 wherein the fluoride containing compound comprises hydrofluoric acid.
3. The etchant composition of claim 1 which comprises about 0.01 to about 20 percent by weight of hydrogen peroxide.
4. The etchant composition of claim 1 which comprises about 1 to about 30 ppm of ozone.
5. The etchant composition of claim 2 which comprises about 1 to about 10 percent by weight of sulfuric acid, about 1 to about 10 percent by weight of hydrogen peroxide and about 1 to about 50 ppm of hydrofluoric acid.
6. The etchant composition of claim 2 which comprises about 5 percent by weight of sulfuric acid, about 12 percent by weight of hydrogen peroxide and about 10 ppm of hydrofluoric acid.
7. The etchant composition of claim 1, which includes deionized water.
8. A method for providing an aqueous back-end-of-line (BEOL) clean for a wiring/interconnect of a reactive ion etched semiconductor device, comprising:

subjecting said reactive ion etched semiconductor device to a post metal RIE clean using the etchant composition of claim 1, comprising:

 - a) mixing water, sulfuric acid and hydrogen peroxide or ozone in a mixing tank;
 - b) mixing HF directly into said mixing tank or adding HF into a separate tank for wafer processing, either before, during or after said mixture water, sulfuric acid and hydrogen peroxide is transported to a tank for wafer processing; and
 - c) subjecting said wiring/interconnect of said semiconductor device to etching by said etchant composition to remove sidewall polymer,

polymer rails and via residue without etching conductive materials during removal of sidewall polymer, polymer rails, and via residue.

9. The process of claim 8 wherein said fluoride containing compound comprises hydrofluoric acid.

10. The process of claim 8 wherein said etchant composition comprises about 0.01 to about 20 percent by weight of hydrogen peroxide.

11. The process of claim 8 wherein said etchant composition comprises about 1 to about 30 ppm of ozone.

12. The process of claim 9 wherein said etchant composition comprises about 1 to about 10 percent by weight of sulfuric acid, about 1 to about 10 percent by weight of hydrogen peroxide, and about 1 to about 50 ppm of hydrofluoric acid.

13. The process of claim 9 wherein said etchant composition comprises about 5 percent by weight of sulfuric acid, about 12 percent by weight of hydrogen peroxide and about 10 ppm of hydrofluoric acid.

14. The process of claim 8 wherein said etchant composition includes deionized water.

15. A process for providing an aqueous back-end-of-line (BEOL) clean with feed-back

control to monitor the active component of HF in said clean, for a wiring/interconnect of a reactive ion etched semiconductor device, comprising:

subjecting said reactive ion etched semiconductor device to a post metal RIE clean using the etchant composition of claim 1, comprising:

- a) mixing water, sulfuric acid and hydrogen peroxide in a mixing tank;
- b) mixing HF directly into said mixing tank or adding HF into a separate vessel for wafer processing, either before, during or after said mixture water, sulfuric acid and hydrogen peroxide as a mixture is transported to said separate tank for wafer processing;
- c) taking a sample comprising HF from said mixing tank or HF from said wafer processing tank and sending said sample through a feedback loop;
- d) comparing said sample to a standard dilute solution of HF to obtain a value of HF concentration in said sample;

- e) inputting said value to a tank tool recipe control to cause any needed adjustment in concentration of HF to a predetermined range, either in said mixing tank or said wafer processing vessel; and
- f) subjecting said wiring/interconnect of said semiconductor device to etching by said etchant composition to remove sidewall polymer, polymer rails and via residue without etching conductive materials during removal of sidewall polymer, polymer rails, and via residue.

16. The process of claim 15, wherein said fluoride containing compound comprises hydrofluoric acid.

17. The process of claim 15, wherein said etchant composition comprises about 0.01 to about 2.0 percent by weight of hydrogen peroxide.

18. The process of claim 15, wherein said etchant composition comprises about 1 to about 30 ppm of ozone.

19. The process of claim 16, wherein said etchant composition comprises about 1 to about 10 percent by weight of sulfuric acid, about 1 to about 10 percent by weight of hydrogen peroxide, and about 1 to about 100 ppm of hydrofluoric acid.

20. The process of claim 16, wherein said etchant composition comprises about 5 percent

by weight of sulfuric acid, about 12 percent by weight of hydrogen peroxide and about 10 ppm of hydrofluoric acid.

21. The process of claim 15, wherein said etchant composition includes deionized water.

22. The process of claim 15, wherein said separate vessel for wafer processing is a spray

processor.

23. The process of claim 19 when delivered to a spin-spray processor, short times typically less than 1 minute, most preferably 20 seconds could be used when HF concentration is close to 100 ppm HF.

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FIG. 1

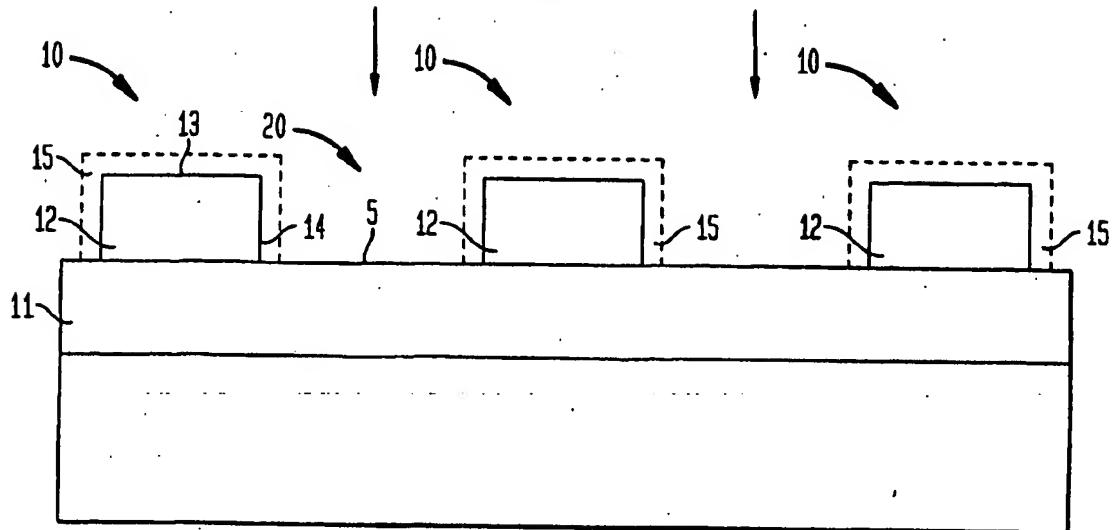
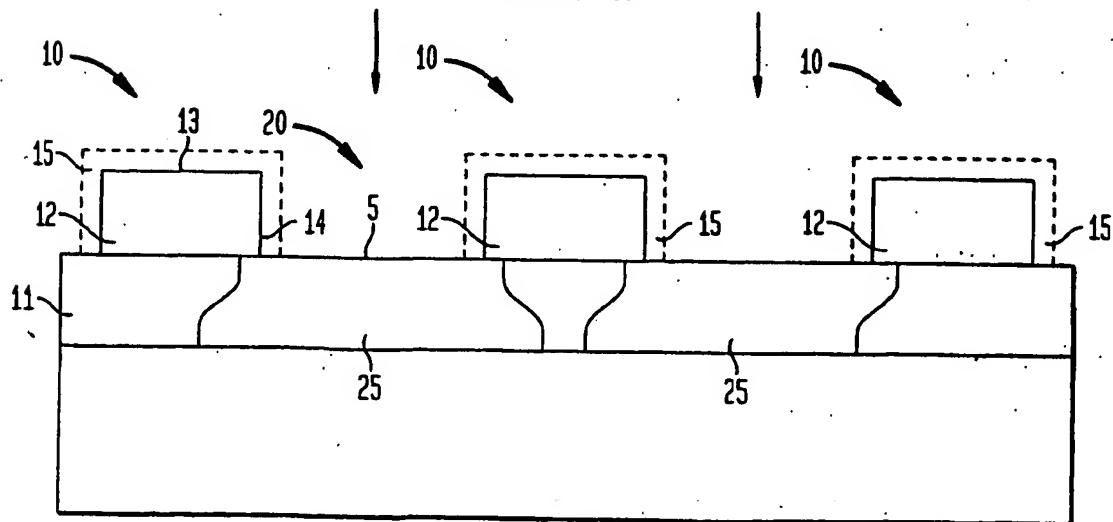
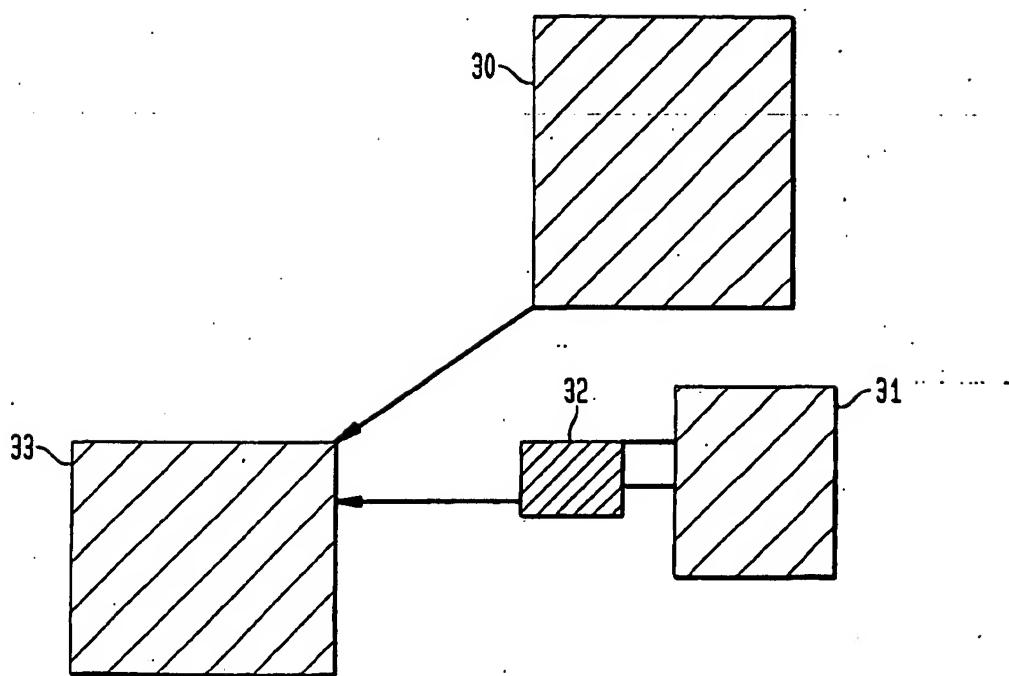


FIG. 2



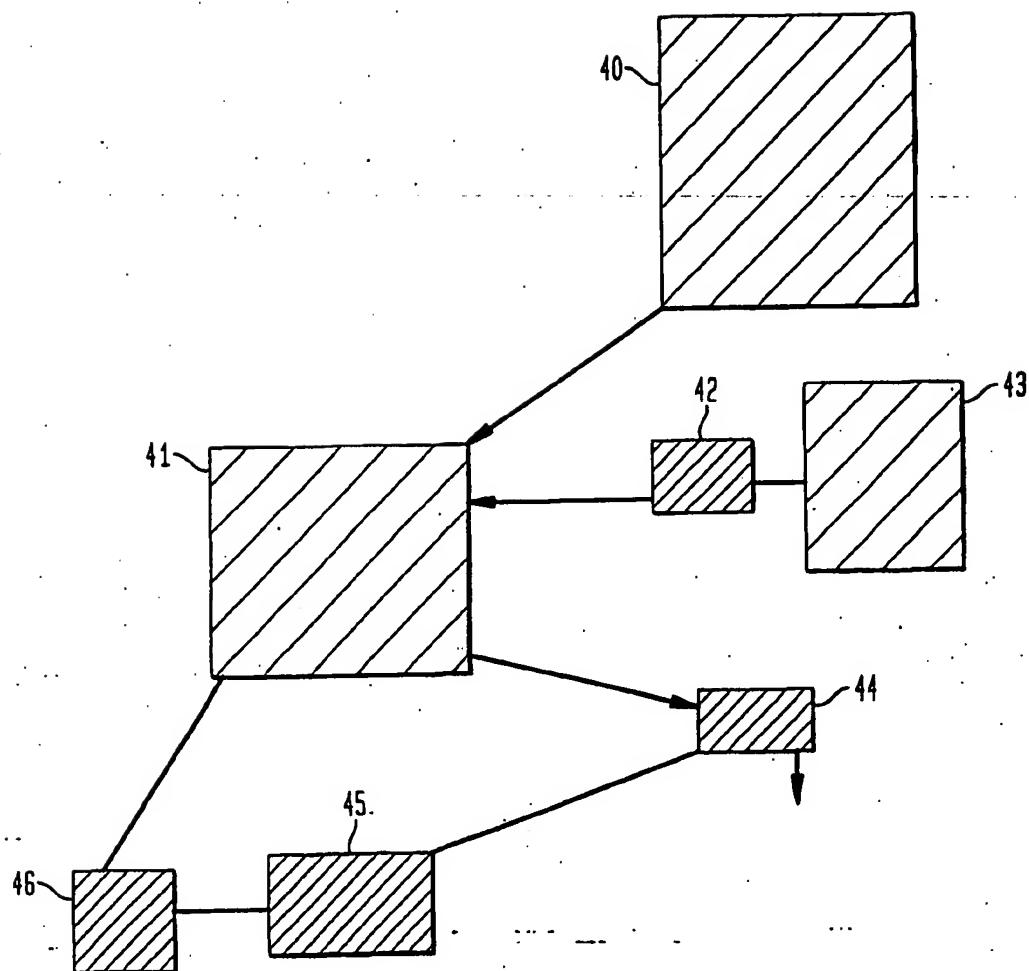
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FIG. 3



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FIG. 4



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FIG. 5

